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# The Verification Challenge of Marine Carbon Dioxide Removal

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# Abstract

It is increasingly obvious that, even when reaching net-zero emissions, removal of anthropogenic carbon dioxide (CO<sub>2</sub>) from the atmosphere will be required. Some ocean-based removal technologies, while not proven for routine operation at scale, show promise. All of these rely on inducing a flux of CO<sub>2</sub> from the atmosphere into the ocean that is directly attributable to the removal intervention. Crucial for the economic viability of these technologies is the quantification of the cumulative net air-sea flux of CO<sub>2</sub> that an intervention can verifiably deliver. Because this flux is the difference between a realistic case with and a hypothetical case without intervention, it cannot be determined by observation alone. One must rely on a combination of net CO<sub>2</sub> uptake are the removal of seawater with a DIC deficit from direct contact with the atmosphere and the inevitable rebalancing of carbon among Earth's mobile carbon pools.

# 1. What is marine Carbon Dioxide Removal (mCDR)?

Global climate is warming at an accelerating pace because of anthropogenic activity, primarily the release of greenhouse gases due to combustion of fossil fuels and extensive changes in land use (IPCC 2023, Blunden & Boyer 2024). Although many consider halting global warming to be the most pressing environmental issue of our time, humanity's heavy reliance on fossil fuel-derived energy poses a significant hurdle to reducing greenhouse gas emissions. Increasingly, approaches for active removal of greenhouse gases from the atmosphere followed by their safe storage in other reservoirs is being considered as a necessary complement to emission reductions (IPCC 2018, 2023, Doney et al. 2025). Such approaches are referred to as Negative Emission Technologies (NETs). NETs that target carbon dioxide (CO<sub>2</sub>), the largest contributor to global warming among the anthropogenic greenhouse gases, are referred to as Carbon Dioxide Removal (CDR) technologies.

CDR that relies on the ocean as a conduit for extracting CO<sub>2</sub> from the atmosphere is referred to as marine CDR (mCDR). mCDR technologies can be grouped broadly into abiotic and biotic approaches. Abiotic approaches do not rely on a manipulation of biological processes for carbon removal. They include the direct capture of CO<sub>2</sub> from seawater and the enhancement of ocean alkalinity either by adding alkaline minerals to or removing acidic constituents from seawater. Biotic approaches rely on a systematic alteration of biologically mediated fluxes. They encompass ocean fertilization with iron or macronutrients (including artificial upwelling), enhancement of biological carbon sinks in coastal systems (e.g., through restoration of seagrass meadows and mangroves), and farming and sinking of macroalgae in open ocean regions. Common to all mCDR approaches is their aim to induce a net flux of CO<sub>2</sub> from the atmosphere into the ocean by deliberate human intervention, i.e. the flux would not have occurred otherwise. This additional CO<sub>2</sub> flux through the air-sea interface is driven by a deliberate reduction of the partial pressure of CO<sub>2</sub> (pCO<sub>2</sub>) in the surface ocean which changes the pCO<sub>2</sub> gradient between atmosphere and ocean thus inducing a net uptake. How the reduction in surface pCO<sub>2</sub> is accomplished varies by technology as does the form in which CO<sub>2</sub> is stored and thus the expected timescale of storage.

Several recent reports and reviews provide overviews of mCDR concepts including the status of current knowledge and related unresolved questions (NASEM 2022, Cooley et al 2022, Doney et al. 2025, Oschlies et al. 2025). This review focuses specifically on the challenge of quantifying

the oceanic carbon uptake associated with mCDR activities—a critical aspect for verification by carbon markets. Palter et al. (2023) emphatically called for rigorous, transparent, and equitable protocols. The topic is actively discussed (Halloran et al. 2025, Bach et al. 2023, Fennel et al. 2023, Ho et al. 2023) but remains a work in progress.

## 2.1. Biotic mCDR approaches

Ocean fertilization aims to reduce surface ocean pCO<sub>2</sub> by stimulating photosynthesis. This is done by supplying either the micronutrient iron in regions where it is limiting or macronutrients outside of these regions. Iron fertilization would have to be applied in the high-nutrient, low-chlorophyll regions of the ocean (the Southern Ocean, the Subpolar North Pacific, and the Pacific Equatorial Upwelling region) where macronutrients are never completely exhausted because of a shortage of iron. Iron fertilization is thought to have contributed to the changes in atmospheric CO<sub>2</sub> that drove glaciations (Martin 1990) and is attractive among the nutrient fertilization concepts because the Fe:C stoichiometry is favorable. Although variable to within an order of magnitude (Sunda and Huntsman 1995), Fe:C stoichiometries in phytoplankton range from 2 to 13  $\mu$ mol:mol or 2x10<sup>-6</sup> to 1.3x10<sup>-5</sup> mol:mol (Sunda 1997) which implies that adding 1 ton of iron could theoretically stimulate up to 100,000 tons of organic carbon production.

More than a dozen open-ocean iron fertilization experiments have been conducted since the idea was first proposed by John Martin (summarized in Boyd et al. 2007, Yoon et al. 2018). While these experiments have shown that the photosynthetic uptake of CO<sub>2</sub> can be enhanced by the addition of iron, thus driving an additional net flux of CO<sub>2</sub> into the ocean in the short term (Bakker et al. 2001), it is unclear how much of this additional carbon is sequestered in the deep ocean and how much is respired in the surface where it will outgas within months. Furthermore, it is likely that enhanced macronutrient drawdown in iron-fertilized patches leads to reduced productivity downstream, so-called nutrient robbing, which would at least partially offset CO<sub>2</sub> uptake—as has been shown in several modelling studies (Noh et al. 2024, Keller et al. 2014, Gnanadesikan et al. 2003, Sarmiento et al. 2004). Furthermore, modeling suggests that ceasing iron fertilization at any point in time would lead to substantial outgassing of the previously sequestered CO<sub>2</sub> (Jin et al. 2008, Keller et al. 2014), in other words, it may not deliver durable CDR. In addition to questions about the additionality of CDR delivered by iron fertilization and concerns over unintended consequences including nutrient robbing and exacerbation of ocean deoxygenation and ocean acidification (Strong et al. 2009), verification of carbon removal is a major challenge for this approach (NASEM 2022).

Fertilization with macronutrients via artificial upwelling seems conceptually fraught because the respiratory DIC content of seawater increases with depth in roughly the same proportion as inorganic macronutrient concentrations. This proportion happens to roughly match the stoichiometric requirements of photosynthetic microorganisms (Redfield et al. 1963, Anderson & Sarmiento 1994). In other words, pumping seawater from the ocean interior to the surface in the hope that macronutrients stimulate photosynthesis to take up atmospheric  $CO_2$  neglects the fact that the same water contains elevated DIC. To first order, one would expect a zero-sum effect with regard to air-sea exchange of  $CO_2$  where upwelled DIC is sufficient to meet the photosynthetic demands of phytoplankton. More elaborate causalities have been proposed, e.g., stimulation of diazotrophic phytoplankton (Karl & Letelier 2008) which do not strictly depend on fixed nitrogen (the proximate limiting macronutrient in most of the ocean) and thus could grow until residual

phosphate (the ultimate limiting macronutrient in the ocean) is exhausted. This proposed approach aims to exploit a relatively small deviation from average stoichiometries between carbon, nitrogen and phosphorus and relies on the success of specialized microbes that is hard to predict and even harder to control making it unlikely to reliably deliver CDR and operate on the scales required (Fennel 2008). Furthermore, artificial upwelling, if implemented on a large scale, would work to erode ocean stratification with implications for the ocean's productivity and heat balance that would run counter to the goal of mitigating global warming (Fennel 2008, Keller et al. 2014, Oschlies et al. 2010, Yool et al. 2009).

Farming of macroalgae, multicellular photosynthetic organisms commonly referred to as seaweed, followed by sequestration of the resulting biomass in the deep ocean has been proposed to induce a net flux of atmospheric CO<sub>2</sub> into the ocean. This approach requires a deliberate strategy to induce sinking of macroalgal biomass (e.g. by compacting). A notable difference to the fertilization approaches described above, which would stimulate phytoplankton, is that the C:N ratio of macroalgae is higher (Sheppard et al. 2023), implying that more organic carbon per unit of available macronutrients could be produced and potentially removed. However, even under optimistic assumptions and assuming global implementation, the estimated CO<sub>2</sub> removal potential by macroalgal farming is small (0.1 gigatons per year according to Koweek 2022) and concerns over downstream nutrient robbing, unintended impacts on deep-sea sediments, and exacerbation of ocean deoxygenation and ocean acidification still apply (Bach et al. 2021). This approach, if implemented at scale, would entail a major modification of marine ecosystems (Boyd et al. 2022) with possibly major unintended consequences. Verification of carbon removal would also be a formidable challenge for this approach.

In principle, a biotically induced net flux of  $CO_2$  from the atmosphere into the ocean can be achieved without stimulating photosynthesis by avoiding the release of respiratory  $CO_2$ . For example, a conversion of labile forms of dissolved organic carbon (DOC) into more refractory forms could reduce the release of respiratory  $CO_2$  from microbially or photo-oxidatively degraded DOC to the atmosphere and thus increase the ocean's pool of DOC (Jiao et al., 2014). Because it is not obvious how this could be accomplished deliberately and on a large scale, verification of this approach is not discussed further.

The abovementioned biotic approaches can be thought of as an acceleration of the biological carbon pump (BCP, Oschlies et al. 2025). The BCP refers to a collection of physical transport and biological transformation processes that result in the long-term storage of biologically derived carbon in the ocean. Sometimes, the BCP is conflated with the transfer of photosynthetically produced organic carbon from the sunlit surface ocean to its interior. However, it is important to note that a stimulation of photosynthetic uptake does not in itself equate to an acceleration of the BCP. The same is true for a reduction in respiratory loss of organic matter to CO<sub>2</sub>. The BCP results in changes in ocean carbon storage only if the net result of an altered downward flux of POC and the upward flux of remineralized carbon is changed (Frenger et al. 2024). If, for example, an increase in the downward flux of organic carbon is compensated by an increase in the upward flux of remineralized DIC, no increase in the pool of sequestered carbon would result and the magnitude of the BCP would remain unchanged. Analogously, a reduction in the respiratory return of CO<sub>2</sub> could be compensated for by a decrease in photosynthetic production, which is plausible since photosynthesis is partly fueled by nutrients stemming from remineralized labile DOC.

Acceleration of the BCP via mCDR thus hinges on a net increase in the downward flux of carbon or a net decrease in the upward return flux of carbon or both.

Restoration or creation of vegetated coastal habitats, i.e. mangroves, salt marshes, and seagrass meadows, is also being discussed as a biotic mCDR approach because these habitats hold significant amounts of organic carbon in their soils and seabeds. Vegetated coastal habitats make up about 0.5% of the ocean's surface (Macreadie et al. 2021) and have been severely decimated due to coastal development. While coastal restoration would deliver many benefits (including protection from erosion and ecosystem benefits such as nursery grounds), its potential as a carbon removal approach is estimated to be small (Gattuso et al. 2018). Verification of additional carbon uptake by this approach would be difficult (Williamson & Gattuso 2022) and require qualitatively different approaches than verification of other mCDR approaches. Therefore, it will not be discussed further in this review.

### 2.2. Abiotic mCDR approaches

Abiotic mCDR approaches aim to reduce the surface ocean's  $pCO_2$  directly, either by enhancing its alkalinity, thus increasing the amount of  $CO_2$  that a given volume of seawater can hold at equilibrium with the atmospheric  $pCO_2$ , or by extracting  $CO_2$ , which has to be stored elsewhere.

Ocean alkalinity enhancement (OAE) promises high durability and has a large potential for scaleup (Renforth & Henderson 2017). Several approaches have been proposed and are under active investigation (Eisaman et al. 2023, Oschlies et al. 2025). One involves addition of lime (as quick lime, hydrated lime [Kheshgi 1995], or ikaite [Renforth et al. 2022]), others the addition of pulverized silicate or carbonate rocks, or material derived from rocks such as concrete or steel slag (Rau & Caldeira 1999, Caldeira & Rau 2000, Harvey 2008, Renforth & Henderson 2017, Renforth 2019). In contrast, the electrochemical approaches do not add minerals but instead extract acids from seawater, thus enhancing its alkalinity (House et al. 2007, Rau 2008, Rau et al. 2013).

Ongoing research & development on OAE is focused on practical aspects of implementation and on potential unintended effects on ecosystems. Practical aspects include sourcing and processing of suitable feedstock (including the associated energy demands; Foteinis et al. 2022), evaluating the tradeoffs of different modes of dispersal and whether minerals dissolve fast enough or sink out of the surface ocean (Yang & Timmermans 2024, Wang et al. 2025), the risk of spontaneous secondary precipitation (Moras et al. 2022, Hartmann et al. 2023) which would remove alkalinity and is more acute for approaches which can induce large pH spikes (i.e. liming and electrochemical methods), finding a safe use or disposal method of the large quantities of acids that would be produced if electrochemical OAE were implemented at gigaton scale (Eisaman et al. 2023), and quantification of the net  $CO_2$  uptake. Concerns about unintended ecosystem impacts are relevant for mineral feedstocks, because they include varying amounts of silicate and trace metals that would be introduced to seawater. These may affect marine organisms from primary producers to high trophic-level species either enhancing their productivity or posing risks (Guo et al. 2024, Ferderer et al. 2024, Garai et al. 2021, Montserrat et al. 2017).

In direct removal,  $CO_2$  is extracted from seawater via electrochemical methods (Saha et al. 2024, Seo et al. 2024) for long-term storage in a geological reservoir. This approach is similar to direct air capture of  $CO_2$  in terms of long-term storage. However, there is an important difference that

makes direct  $CO_2$  removal from seawater an mCDR technique, namely the reliance on a net airsea flux resulting from the deliberate lowering of seawater pCO<sub>2</sub>. While the removal of CO<sub>2</sub> from seawater occurs in a closed system and can be accurately quantified, no net removal of CO<sub>2</sub> from the atmosphere occurs until after the treated seawater is released back into the ocean and its relative DIC deficit resulting from the CO<sub>2</sub> capture is replenished by CO<sub>2</sub> uptake from the atmosphere. Thus, the quantification of this uptake is necessary, as for all the other mCDR techniques discussed above, and poses similar challenges.

# 3. The MRV challenge

Two necessary characteristics of any viable mCDR technology are that an additional net flux of  $CO_2$  from the atmosphere into the ocean must be induced that would not have occurred without the CDR intervention—this is referred to as additionality—and that the added  $CO_2$  is stored in the ocean or its sediments for a sufficiently long time. The additional  $CO_2$  flux through the air-sea interface is driven by a deliberate reduction of the surface ocean's pCO<sub>2</sub> which modifies the pCO<sub>2</sub> gradient between atmosphere and ocean thus effecting a change in air-sea flux. The reduction in surface ocean pCO<sub>2</sub> can be thought of as the creation of a DIC deficit, noting that local temperature, salinity, background DIC, and background alkalinity affect the ratio of pCO<sub>2</sub> to DIC. How the reduction in surface pCO<sub>2</sub> is accomplished varies by technology as does the form in which  $CO_2$  is stored and thus the expected durability of storage.

Quantification of the net flux of  $CO_2$  is central to its verification for carbon markets and the main subject of this review. How this should be accomplished is actively discussed in the recent literature as well as among practitioners and verifiers and remains an open question (Halloran et al. 2025). While it is relatively easy to define general criteria that have to be met for satisfactory verification (i.e. durability, additionality, minimal negative impacts on ecosystems), it is much more difficult to articulate concrete procedures and metrics for evaluating these criteria and to define quantitative thresholds that have to be met for each criterion to be satisfied. In other words, it is difficult to set reasonable standards. Verification also requires a full accounting of  $CO_2$  and other greenhouse gases emitted in the course of the CDR activity, e.g. in the production, processing, and transport of feedstock or the installation and operation of dedicated infrastructure, in a life cycle analysis (LCA; Foteinis et al. 2022, Butnar et al. 2024). However, the aim here is to discuss the sequence of processes that starts with the manipulation of seawater to induce net uptake of  $CO_2$  and onward.

Aspects that have to be considered are: 1) the relative deficit in seawater DIC that is induced by the CDR activity, 2) the temporal evolution of the integrated net flux of  $CO_2$  from the atmosphere into the ocean as a result of the induced DIC deficit, 3) any return fluxes of  $CO_2$  and other greenhouse gases to the atmosphere, and 4) possible environmental harms or co-benefits. The first three will be discussed in detail below. Discussion of the fourth, beyond that written in section 2.1., is outside of the intended scope of this review but are examined elsewhere (Oschlies et al. 2025, Roberts et al. 2025).

The DIC deficit that is introduced by a specific CDR activity represents the "maximum possible CDR" or "potential CDR" that can be achieved by this activity. The efficiency of a specific intervention is the ratio between the accomplished net  $CO_2$  uptake that has occurred within a

reasonable timeframe (subsequently also referred to as the realized CDR or CDR(t)) and the potential CDR. Up to 10 or 15 years may be considered reasonable, while 100 to 1,000 years would not. This definition of efficiency is equivalent to the definition of cumulative additionality by Yamamoto et al. (2024). It does not consider air-sea fluxes of greenhouse gases other than CO<sub>2</sub> that may be induced by the CDR intervention. Although CDR(t) may approach the maximum possible amount (i.e. the DIC deficit induced by the intervention) within a few years, leakage of CO<sub>2</sub> from different reservoirs in the Earth system into the atmosphere will occur in the long term due to a repartitioning of carbon between Earth's mobile carbon reservoirs (Oschlies 2009, Keller et al. 2014, Yamamoto et al. 2024, Tyka 2025). The potential emissions of other greenhouse gases and long-term leakage of CO<sub>2</sub> should be considered when verifying CDR interventions.

It is important to note that quantifying these aspects requires consideration of two scenarios: the realistic case, where the ocean is subjected to a CDR intervention, and the counterfactual case, where this intervention did not happen. Thus, the relative DIC deficit induced by the intervention is the difference between a real, observable state and a hypothetical state that cannot be observed. The same holds true for the net air-sea flux of  $CO_2$  and any leakage induced. This fact presents a challenge to verifying CDR in that multiple aspects are not observable by definition yet have to be quantified.

## 3.1. Relative DIC deficit in seawater induced by CDR

Since the mechanisms by which a relative DIC deficit is induced in surface waters differ by approach, so does the effort involved in quantifying this deficit.

For OAE, the induced DIC deficit is directly related to the amount of added alkalinity. This is relatively straightforward to quantify for electrochemical methods because removal of acids occurs in a closed system and can be measured directly. For mineral-based OAE, the amount of alkalinity added is more difficult to assess. A minor issue is that feedstocks contain impurities. These can be accounted for through careful analysis of feedstock composition. A bigger complication is that complete dissolution of feedstock cannot be assumed a priori (Wang et al. 2025). In open-ocean applications, loss of feedstock due to sinking would reduce the ratio of potential to realized CDR, i.e. the efficiency of the process (Yang and Timmermans 2024). In coastal applications, feedstock may accumulate on the seabed and be incorporated into sediments without enriching the overlying water column in alkalinity (Bach 2024, Wang et al. 2025). Assuming the amount of added alkalinity, which we refer to as [Alk]<sub>added</sub>, has been quantified, the relative DIC deficit is equal to  $\eta$ [Alk]<sub>added</sub> where  $\eta$  is the isocapnic quotient (Humphreys et al. 2018) or CO<sub>2</sub> uptake factor (Schulz et al. 2023). Some authors have attached a slightly different meaning to n and referred to it as the time-dependent efficiency of alkalinity enhancement (He & Tyka 2023, Tyka 2025, Yankovsky et al. 2024, Zhou et al. 2025). Here, a more generally applicable definition of efficiency is used (see box 1). One complication in converting added alkalinity to a DIC deficit is that  $\eta$  is not a constant but depends on local seawater characteristics, primarily temperature, and varies between 0.77 and 0.96 with higher values found closer to the poles (Schulz et al. 2023).

For biotic CDR, a relative  $CO_2$  deficit can arise because of an increase in the downward flux of organic carbon. Quantification of such  $CO_2$  deficit would require a determination of how much additional organic carbon sinks out of the surface ocean above and beyond the downward flux that would occur without the CDR intervention, i.e. the baseline. Current estimates of the baseline

vertical flux of organic matter are very imprecise. Direct observations via particle traps and thorium isotopes are sparse and heavily biased toward the northern hemisphere and upper 500 m of the ocean (Mouw et al. 2016, Doney et al. 2024). Climate model estimates of the globally averaged vertical flux of organic matter range from 5 to 12 Gt of CO<sub>2</sub> per year at present (Henson et al. 2022) and their simulated vertical transport of organic matter differs quantitatively and shows qualitatively different latitudinal patterns (Wang & Fennel 2024).

The depth to which the additional organic carbon sinks before it is respired, and ocean circulation, determine the timescale of  $CO_2$  storage. Carbon stored below 1,000 m is considered sequestered for over 100 years (Siegel et al. 2021), although shallower respiration may also meaningfully contribute to ocean carbon sequestration (Ricour et al. 2023). Quantification of timescales of storage largely relies on model simulations.

Given current capabilities for observing the naturally occurring downward flux of organic carbon in the ocean and the current state of biogeochemical ocean models, it seems unlikely that the differential flux can be quantified with confidence. Assuming, for the sake of argument, that it could be, then the relative  $CO_2$  deficit induced by iron fertilization or macroalgal farming would be equal to the additional organic carbon exported to a sufficient depth—what depth would be considered sufficient remains to be determined. When organic matter export is stimulated by macronutrient fertilization through artificial upwelling, one needs to account for the upwelled DIC, which reduces any DIC deficit resulting from enhanced organic matter export. In the case of iron fertilization, it may be tempting to convert the added micronutrient into additional organic matter export assuming stoichiometric ratios; however, an increase in organic matter production is not necessarily equal to an increase in vertical carbon flux and the stoichiometries for converting iron to carbon are highly variable.

## 3.2. Net air-sea flux of CO<sub>2</sub>

The relative DIC deficit induced by an intervention sets an upper limit for CDR that can occur. How much of this potential CDR is realized, i.e. the efficiency of the intervention, depends on the net air-sea flux from the atmosphere into the ocean that is effected by the deficit.

Several authors have made the point that "quantification via measurement" is not an option for the net CO<sub>2</sub> flux, because of the fast dilution/dispersion of any induced DIC deficit and because of unfavorable signal-to-noise ratios in DIC and pCO<sub>2</sub>, and thus must rely on models (Bach et al. 2023, Ho et al. 2023, Palter et al. 2023, Tyka 2025). A more fundamental reason, that may seem obvious but has not been clearly articulated before, is that, by definition, the counterfactual cannot be directly measured. Quantification of the net flux must therefore rely on skillful biogeochemical models (see Fennel et al. [2022] for a primer). Despite the necessary reliance on models for quantification of the net CO<sub>2</sub> flux, the importance of measurements for rigorous validation, tuning, and uncertainty assessments of models as well as for model-data fusion via data assimilation has been stressed (Watson et al. 2008, Fennel et al. 2023). A parallel can be drawn to operational ocean modelling, where observing system simulation experiments (OSSEs) are common. Like in MRV, OSSEs rely on the quantification of differences between two simulations and have been shown to yield misleading results when the models are biased or inaccurate (Yu et al. 2019). Clear minimum criteria, based on years of intensive research and learning, have been articulated for OSSEs (Halliwell et al. 2014) but are not yet available for CDR quantification.

Since the air-sea equilibration of CO<sub>2</sub> is relatively slow—Jones et al. (2014) estimate the areaweighted global annual mean equilibration timescale as  $4.4 \pm 3.4$  months—the efficiency depends critically on how long seawater with a DIC deficit is in contact with the atmosphere. Several recent global and regional modelling studies have begun to address quantification of this aspect for OAE. He and Tyka (2023), Yamamoto et al. (2024), and Zhou et al. (2025) simulated OAE interventions in their global models and evaluated CDR efficiency in different locations. Their results show regional and seasonal dependencies but generally suggest close to the maximum possible efficiency is reached within 5 to 15 years. With horizontal resolutions ranging from 0.3 to 2 degrees latitude these models are, at best, eddy permitting. Even in the mesoscale, which is beginning to be resolved by some of these models, transport is largely horizontal, with the largest eddies dominating the stirring and stretching of tracer filaments (Doney et al. 2025). However, when submesoscale processes are resolved, large vertical velocities can occur (Mahadevan 2016, McWilliams 2016) that may effectively remove seawater with a DIC deficit from the mixed layer (Doney et al. 2025). Such vertical transport via mixing and subduction reduces CDR efficiency but is yet to be reliably quantified. Re-entrainment of seawater with a DIC deficit is also possible and should be considered.

Bach et al. (2023) have called for gridded products of CDR efficiency for verification purposes and Zhou et al. (2025) have provided global maps of time-evolving CDR relative to localized alkalinity perturbations, i.e. a global set of time-dependent CO<sub>2</sub> uptake curves, which they refer to as impulse-response functions (IRFs). Yankovsky at al. (2024) further expanded on this concept, proposing IRFs as characteristic uptake curves that may serve, through linear combination, as a general solution to quantifying the efficiency of net air-sea flux. This would require the net air-sea flux to be linear relative to perturbations and time-invariant-assumptions that are not exactly met (Yankovsky at al. 2024) and not supported by the simple assessment presented in section 4. Leaving the validity of IRFs for generalized CDR verification aside, a rigorous assessment of their accuracy, even when explicitly calculated for a specific case, remains outstanding. Model intercomparisons and model ensembles are one approach for evaluating uncertainty (Keller et al. 2018, Fennel et al. 2023). It is often assumed that an ensemble mean is more accurate than most individual ensemble members because by combining models with complementary strengths, errors may average out. The underlying assumption is that ensemble members deviate randomly from reality without systematic biases. Often this is not the case, e.g. for CMIP models (Laurent et al. 2021). Ensembles only yield reliable uncertainty estimates if the sources of error leading to ensemble spread reflect the true and unbiased uncertainty in the real system that is simulated by the model ensemble. In practice, this is difficult to assess and ensure although several approaches have been developed in the context of operational ocean modelling, e.g. rank histograms. Like ensembles, model intercomparisons without rigorous validation against high-quality, appropriately resolved observations are not sufficient for quantifying model uncertainty.

#### 3.3. Long-term return fluxes of greenhouse gases

Further to assessing the  $CO_2$  deficit, which is created immediately following a CDR intervention, and the resulting net uptake of  $CO_2$  from the atmosphere that occurs within 5 to 15 years of the intervention, there will be a long-term return flux of  $CO_2$  to the atmosphere due to an inevitable rebalancing among Earth's mobile carbon pools and possibly the release of other greenhouse gases. These long-term return fluxes should be quantified and considered when verifying CDR

interventions as they reduce the climatic benefit gained by the initially induced ocean uptake of CO<sub>2</sub>.

Several modelling studies have assessed mCDR approaches assuming a fixed atmospheric pCO<sub>2</sub>, essentially equivalent to an infinite atmosphere (He & Tyka 2023, Wang et al. 2023, Zhou et al. 2025). These simulations overestimate the CDR-induced air-sea flux because they do not account for the reduction in atmospheric  $pCO_2$  that occurs due to CDR and any subsequent rebalancing (Oschlies 2009, Oschlies et al. 2010, Keller et al. 2014, Yamamoto et al. 2024, Jeltsch-Thömmes et al. 2024, Tyka 2025). Any change in distribution among Earth's mobile carbon pools leads to a long-term readjustment among them. Keller et al. (2014) assessed a range of CDR approaches and solar radiation management with an Earth system model that includes the land biosphere and showed that such readjustment occurs, to varying degrees, for all approaches. Yamamoto et al. (2024), in a fully coupled ocean-atmosphere model, estimated that CDR efficiency, after an initially rapid increase over a few years to decades, decreases to 50% at best after 100 years. Jeltsch-Thömmes et al. (2024), employing probabilistic observation- constrained ensembles of two Earth system models, found efficiencies of OAE to be less than 50% because of weakened carbon sinks on land and in the ocean due to the CDR intervention (in some cases sinks turned to sources). Importantly, the underlying redistribution among carbon pools is not characteristic of just mCDR. It also occurs for direct air capture (Yamamoto et al. 2024) and any decarbonization efforts that reduce CO<sub>2</sub> emissions because only about half of present-day emissions stay in the atmosphere with the remainder removed by land and ocean sinks.

Several authors have measured mCDR efficiency relative to that of direct air capture of  $CO_2$  (Yamamoto et al. 2024, Tyka 2025) because the latter can be considered as the close to ideal case with respect to efficiency, given that  $CO_2$  is directly removed from the atmosphere. This is a useful comparative metric of efficacy because it removes the complication of carbon redistribution throughout the Earth system but should not distract from the imperative of considering the redistribution in verification. Consideration of long-term CDR efficiency is further complicated by its dependence on emission pathways (Schwinger et al. 2024, Jürchott et al. 2023).

## 4. Simple case studies

The common aspect to all mCDR approaches, and of first order importance for assessing their efficiency, is the integrated net air-sea flux of  $CO_2$ . Several questions related to the quantification of this flux are investigated quantitatively here with the help of an idealized model. The simulations presented here assume the relative DIC deficit is created through OAE, but the model can be applied easily to other mCDR approaches. Furthermore, the atmospheric pCO<sub>2</sub> is fixed here, assuming an infinite atmosphere, but the model can easily be modified to assess the implications of a finite atmosphere.

When taking a Lagrangian view, idealized equations for the time-rate-of-change of DIC and alkalinity (Alk) in a surface parcel of seawater can be written as

$$\partial DIC(\mathbf{x},t)/\partial t = -\mathbf{k} \cdot \nabla^2 DIC(\mathbf{x},t) - \tau_v (DIC(\mathbf{x},t) - DIC^{deep}) + F(DIC,Alk), and$$
 (1)

$$\partial Alk(\mathbf{x},t)/\partial t = -\mathbf{k} \cdot \nabla^2 Alk(\mathbf{x},t) - \tau_v (Alk(\mathbf{x},t) - Alk^{deep}), \qquad (2)$$

where **x** is a point in space, *t* is time, k is the dispersion coefficient,  $\tau_v$  is the vertical mixing timescale, DIC<sup>deep</sup> and Alk<sup>deep</sup> are background concentrations in the deep ocean, and F is the airsea flux of CO<sub>2</sub>, which depends on the windspeed, temperature, salinity, Alk, DIC, and the air pCO<sub>2</sub> and is only applicable when the water parcel resides at the sea surface. Assumptions are made here that advection and dispersion are distinct processes, that advection leads to a horizontal translation of the water parcel only but does not affect its shape, that horizontal dispersion is isotropic, and vertical advection is ignored. With these assumptions in a Lagrangian reference system that moves with the water parcel, there is no need to account for horizontal advection explicitly.

To estimate the amount of  $CO_2$  removed from the atmosphere due to a CDR intervention, one needs to calculate the difference between the realistic case with intervention and the corresponding counterfactual without it. Henceforth, the tracer variables DIC and Alk correspond to the realistic case and  $DIC^{ef}$  and  $Alk^{ef}$  to the counterfactual. One then has to consider two pairs of tracer equations, pair 1 and 2 from above, and

$$\partial \mathsf{DIC}^{\mathrm{cf}}(\mathbf{x},t)/\partial t = -\mathbf{k} \cdot \nabla^2 \operatorname{DIC}^{\mathrm{cf}}(\mathbf{x},t) - \tau_{\mathrm{v}} \left( \operatorname{DIC}^{\mathrm{cf}}(\mathbf{x},t) - \operatorname{DIC}^{\mathrm{deep}} \right) + \mathrm{F}(\mathrm{Alk}^{\mathrm{cf}},\mathrm{DIC}^{\mathrm{cf}}), \text{ and } (3)$$
  
$$\partial \mathsf{Alk}^{\mathrm{cf}}(\mathbf{x},t)/\partial t = -\mathbf{k} \cdot \nabla^2 \operatorname{Alk}^{\mathrm{cf}}(\mathbf{x},t) - \tau_{\mathrm{v}} \left( \operatorname{Alk}^{\mathrm{cf}}(\mathbf{x},t) - \operatorname{Alk}^{\mathrm{deep}} \right).$$
(4)

A numerical representation of this model was coded in Matlab using the carbonate chemistry routines from Zeebe and Wolf-Gladrow (2001) and is available on github (Fennel 2025).

The CO<sub>2</sub> uptake at time *t* due to a CDR intervention, referred to here as the realized CDR, is  $CDR(t) := \Sigma DIC(t) - \Sigma DIC^{cf}(t)$ ,

where  $\Sigma$  refers to the spatial integral over the whole ocean. Because the rebalancing of CO<sub>2</sub> between ocean and atmosphere is ignored here (i.e. atmospheric pCO<sub>2</sub> is constant) CDR(*t*) grows monotonically in time. Thus, a maximum realized CDR can be defined as

 $CDR^{max} := \lim_{t \to \infty} CDR(t).$ 

In realistic cases, CDR(t) does not grow monotonically, and the maximum realized CDR is achieved at a specific point in time that is difficult to determine. For a monotonically growing CDR(t) one can define a timescale for measuring progress toward achieving  $CDR^{max}$  as  $t_{x\%}$  which is the time until x% of  $CDR^{max}$  is realized, i.e.  $CDR(t_{x\%}) = x/100 \ CDR^{max}$ .

The efficiency of a CDR intervention,  $\varepsilon(t)$ , is the ratio of CDR(*t*) to [DIC]<sub>deficit</sub>, the DIC deficit induced by the intervention. In biotic model cases, [DIC]<sub>deficit</sub> could be a prescribed removal of DIC, i.e. an input variable. For OAE, the DIC deficit is related to the prescribed alkalinity addition via the isocapnic quotient,  $\eta$ , as [DIC]<sub>deficit</sub> =  $\eta$ [Alk]<sub>added</sub>. Ideally the efficiency will approach 100%, in other words CDR(*t*) will approach [DIC]<sub>deficit</sub>, in a short time. This is true for some of the idealized model cases below, but never the case for realistic applications where the DIC deficit represents an upper limit for CDR that cannot be reached because of inefficiencies in the net airsea flux and an inevitable rebalancing of carbon among its mobile pools.

#### 4.1. Case 1: The surface ocean as a homogenous box

The simplest case is a homogenous, fully mixed surface ocean that is in exchange with a homogenous, fully mixed atmosphere and subjected to an OAE intervention at time t = 0. It follows from the assumption of homogeneity that dispersion does not have to be considered and that there

is no need for a spatial dimension, just time. Equation 1 simplifies to  $\partial DIC(t)/\partial t = F(DIC)$  and equation 2 becomes trivial for t > 0, i.e.  $\partial Alk(t)/\partial t = 0$ . In this case,  $DIC^{equib}$  and  $DIC^{cf,equib}$  can be defined as the DIC concentrations when seawater and air are in equilibrium. [DIC]<sub>deficit</sub> is equal to  $\Sigma DIC^{equib} - \Sigma DIC^{cf,equib}$ . This case is first considered for an ocean that is in equilibrium with the atmosphere before being subjected to an OAE intervention and then for an ocean in disequilibrium with the atmosphere before the intervention. This allows one to elucidate what factors affect the timescale for achieving CDR<sup>max</sup> most strongly, and whether this timescale changes for over- or undersaturated cases.

#### 4.1.1. Case 1.1: Starting from an equilibrated surface ocean

In case 1.1, DIC in the surface ocean is in equilibrium with the atmosphere before being perturbed. Then the counterfactual is a time-invariant equilibrium, i.e. equation (3) simplifies to  $\partial DIC^{cf}(t)/\partial t = 0$  or  $DIC^{cf} = DIC^{cf,equib} = const.$ 

In a simulation that is referred to as the Base case, a box thickness of 10 m, typical values for surface ocean temperature, salinity, DIC, and alkalinity (Lauvset et al. 2016), the global average wind speed of Archer & Jacobsen (2005), and an atmospheric pCO<sub>2</sub> of 416 ppm were assumed (Table 1). The CO<sub>2</sub> air-sea flux parameterization of Nightingale et al. (2000) was used and an alkalinity perturbation of 100  $\mu$ M was applied throughout the volume of the idealized surface ocean. In sensitivity simulations these assumptions were varied systematically.

In the base case, CDR(t) increases steeply for the first 200 days followed by a more gradual increase toward  $CDR^{max}$  at around 600 days (Figure 1). Timescales toward achieving 50%, 90%, and 99% of  $CDR^{max}$  are 120, 368, and 703 days, respectively (Table 2). The sensitivity simulations illustrate how these timescales are affected by different parameterizations of the air-sea flux of  $CO_2$ , the box thickness, the magnitude of the gas-exchange coefficient k, the wind speed, and the size of the alkalinity perturbation (Table 1).

The volume-normalized CDR(t) and timescales to CDR<sup>max</sup> are almost insensitive to the choice of the gas-exchange parameterization among the 5 tested (Figure 1a, Table 2). At time 0+ (immediately after the alkalinity perturbation), the instantaneous air-sea flux of CO<sub>2</sub> is largest when using the parameterization of McGillis et al. (2004) and smallest when using the parameterization of Wanninkhof et al. (2009), but the differences between parameterizations quickly decrease (Figure 1b) because the magnitude of the flux depends inversely on DIC, which rises slightly faster in the run with the largest flux than in the runs with smaller fluxes. This leads to a convergence of instantaneous fluxes around day 200 followed by a slight temporary divergence where the run with the lowest initial flux experiences a slightly larger flux than the others. In practical terms, the choice of gas exchange parameterization appears comparatively small for the evolution of CDR(t) given the almost negligible differences in this quantity, at least for the average wind speed applied here.

The choice of box thickness,  $\Delta z$ , has a much larger impact on the timescales to CDR<sup>max</sup> and on the magnitude of the flux (Figure 1a,b, Table 2). As should be obvious from the model set-up, CDR<sup>max</sup> (but not the volume-normalized CDR<sup>max</sup>) and the timescales change in a linear fashion with changes in box thickness.

The impacts of perturbing the wind speed and the gas-exchange coefficient by +/- 50% are shown on Figure 1c,d. Perturbations of the wind speed have the largest effect. A 50% decrease in wind speed increases the timescales to CDR<sup>max</sup> by over a factor of 3 and a 50% increase in wind speed cuts the timescales by more than half (Table 2). The impact of changes in the gas-exchange coefficient are smaller. An increase by 50% leads to a decrease in timescales by about 33% while a decrease by 50% leads to an increase in timescales by about 50% (Table 2). These are comparable to the effect due to +/-50% in box thickness. Given that the gas exchange coefficient is probably know to better than +/-50% while the thickness of the surface mixed layer and the wind speed encountered by a parcel of surface water can easily vary by more than +/-50%, the latter two should be considered larger contributors to the uncertainty in flux equilibration timescales.

Lastly, when changing the magnitude of the alkalinity perturbation by  $\pm -50\%$ , the timescales toward CDR<sup>max</sup> change by 10%, 7% and 5% for  $t_{50\%}$ ,  $t_{90\%}$ , and  $t_{99\%}$ , respectively (Table 2).

#### 4.1.2. Case 1.2: Starting from disequilibrium

In the more general case 1.2, DIC is over- or undersaturated before the alkalinity perturbation (Table 1) allowing an assessment of whether this affects the timescale of  $CO_2$  equilibration. In this case, the counterfactual is non-trivial and must be explicitly calculated as it evolves in time. [DIC]<sub>deficit</sub> and CDR<sup>max</sup> are not affected by the initial conditions and equal to the equilibrium base case (Case 1.1) regardless of the degree of over- or undersaturation.

The timescales of air-sea equilibration are different to those in the equilibrium case (Figure 2a, Table 2). In the most oversaturated case (run 17), equilibration occurs the fastest with 50% of maximum efficiency ( $t_{50\%}$ ) reached within 82 days. This is 122 days before the same equilibration benchmark is reached in the most undersaturated case (run 14) where  $t_{50\%}$  is 204 days. The differences are asymmetric in that oversaturation by 200 ppm (run 17) shortens  $t_{50\%}$  by 38 days while undersaturation by 200 ppm (run 14) prolongs  $t_{50\%}$  by 84 days compared to the equilibrium case (run 1). The differences in equilibration timescales arise from differences in the net air-sea flux (Figure 2b). For the first 100 days, the net flux is largest in the most oversaturated case and smallest in the most undersaturated case until, at some point between 100 and 200 days, the flux curves intersect and the initially largest flux becomes the smallest. The shape of the instantaneous air-sea net flux curves is qualitatively different between the over- and undersaturated cases: they are concave everywhere in the oversaturated cases but switch from convex to concave in the undersaturated ones (Figure 2b).

To understand why the net fluxes are different qualitatively and in magnitude, it is helpful to visualize CDR(t) as the difference between DIC concentrations in the realistic versus corresponding counterfactual cases (Figure 2c) and the net flux as the difference between the air-sea fluxes in the realistic versus corresponding counterfactual cases (Figure 2d). The area between the realistic and counterfactual DIC curves (Figure 2c), i.e. the volume-normalized CDR(t), is obviously growing faster during the first 100 days in the oversaturated case (green) than in the undersaturated case (blue). Due to the non-linearity of the carbonate system, the instantaneous net flux, i.e. the difference between the realistic and counterfactual air-sea fluxes (Figure 2d), is larger in the oversaturated case (green) than in the undersaturated one (blue). The lack of symmetry in net air-sea fluxes between the over- and undersaturated cases may seem surprising because the air-sea flux parameterization itself is symmetric, i.e.  $F(\Delta pCO2) = F(-\Delta pCO2)$ . However, one has to

keep in mind that the net flux is the difference of the air-sea fluxes of the realistic and counterfactual cases which breaks the symmetry.

#### 4.1.3 Case 1 summary

The simplest case of the highly idealized model (case 1.1) yields timescales for the net air-sea flux that are consistent with the estimates of air-sea equilibration from Jones et al. (2014) who reported a global ocean median timescale of  $4.4 \pm 3.4$  months. Uncertainties in wind speed and in the depth of the actively mixed surface layer are the largest sources of error in the timescale toward achieving CDR<sup>max</sup> followed by uncertainties in the gas-exchange coefficient k. The choice of the air-sea flux parameterization (among the 5 common parameterizations tested here; see Wanninkhof et al. 2009) are small by comparison, at least for the average windspeed used here. For an over- or undersaturated surface ocean (case 1.2) the timescales toward CDR<sup>max</sup> are strongly affected by the degree of over- or undersaturation. This calls into question the notion that an idealized net uptake curve, i.e. an individual realization of CDR(*t*), can be used as a generalizable curve and linearily combined with others as suggested by Zhou et al. (2025) and Yankovsky et al. (2024).

# 4.2. Case 2: A spatially resolved surface ocean with horizontal dispersion and vertical exchange

In case 2, a horizontally resolved, inhomogeneous surface ocean with explicit inclusion of horizontal dispersion and vertical mixing is examined. Because horizontal dispersion is assumed to be isotropic, the two horizontal dimensions can be collapsed into one dimension r which measures the distance from the injection site, as follows. Without any loss of generality,  $DIC(\mathbf{x},t)$  can be written in polar coordinates as  $DIC(\mathbf{r},t)$  where  $\mathbf{r} = (r,\alpha)$ . Since for any time t and distance from the injection site r,  $DIC(r,\alpha,t) = DIC(r,\beta,t)$  regardless of the values of  $\alpha$  and  $\beta$ , the DIC distribution is fully characterized by DIC(r,t).

One can introduce  $\underline{DIC}(r,t)$  as the integral over all angles, i.e.

 $\underline{\text{DIC}}(r,t) = \int_0^{360} \underline{\text{DIC}}(r,\alpha,t) \, \mathrm{d}\alpha = 2\pi \, \underline{\text{DIC}}(r,\alpha,t) \tag{5}$ 

and an analogous integral for Alk. Then the spatio-temporal evolutions of DIC and Alk are fully described by

$$\partial \underline{\text{DIC}(r,t)} / \partial t = -k_h \partial^2 \underline{\text{DIC}(r,t)} / \partial r^2 - \tau_v \left( \underline{\text{DIC}(r,t)} - \underline{\text{DIC}}^{\text{deep}} \right) + \underline{F} \text{, and}$$
(6)

$$\partial \underline{Alk}(r,t)/\partial t = -k_{\rm h} \partial^2 \underline{Alk}(r,t)/\partial r^2 - \tau_{\rm v} (\underline{Alk}(r,t) - \underline{Alk}^{\rm deep}), \tag{7}$$

where  $\tau_v$  is the vertical mixing timescale, <u>DIC</u><sup>deep</sup> and <u>Alk</u><sup>deep</sup> are the angular integrals of DIC and alkalinity below the surface layer (defined as in equation 5), and

 $\underline{F} = 2\pi F(T,S,\underline{Alk}(r,t)/2\pi,\underline{DIC}(r,t)/2\pi,pCO_2^{\text{air}}).$ 

#### 4.2.1. Case 2.1 Starting from an equilibrated ocean without vertical exchange

In case 2.1, seawater is again assumed to be in equilibrium with the atmosphere before being perturbed, and vertical exchange is neglected. This implies again that the counterfactual is a time-invariant equilibrium. The question to be addressed with this model set up is whether the rate of horizontal dispersion affects the timescales of CDR realization.

In base case 2.1 (run 18), input parameters were chosen to be the same as in base case 1.1 with the addition of a horizontal diffusivity coefficient  $K_h = 80 \text{ m}^2/\text{s}$ . Qualitatively, CDR(t) evolves similar as in all the previous simulations for case 1 (Figure 3, blue line). The volume-normalized  $CDR^{max}$ 

is the same for base cases 2.1 and 1.1, but the timescales are shorter in base case 2.1 (Table 2). Some differences in timescales are to be expected, given the distinct model set up. The sensitivities to changes in the thickness of the surface layer, the horizontal diffusivity, and the size of the alkalinity perturbation (Table 1) are examined and reported in Table 2. As one would expect, changing the layer thickness (run 19) has no effect on normalized CDR<sup>max</sup>, but strongly affects the timescales. As in case 1.1, the timescales are essentially proportional such that halving the layer thickness also cuts the timescales in half (Table 2). Doubling or halving the alkalinity perturbation (runs 22 and 23) does not affect the timescales in this model set-up (Table 2), but may result in differences in air-sea flux if the perturbation were sustained rather than instantaneous.

Not necessarily obvious a priori is the result that doubling or halving the horizontal dispersion coefficient (runs 20 and 21) does not have any effect on the timescales (Table 2). It follows that a faster dispersion of the initial alkalinity perturbation, while leading to smaller differences in surface ocean alkalinity and smaller local air-sea flux differences between the realistic and counterfactual simulations, does not affect the trajectory of CDR(t) or the time scale in this idealized model. In other words, in the absence of vertical exchange processes, smaller local fluxes due to faster dispersion are perfectly balanced by the larger surface area over which these fluxes occur. It is possible that for a sustained perturbation, air-sea flux and equilibration timescales would become sensitive to the magnitude of horizontal dispersion because larger alkalinity and DIC gradients would develop for which the non-linearities of the carbonate system would become meaningful. Overall, the model behavior in case 2.1 is consistent with case 1.1.

#### 4.2.2. Case 2.2: Considering vertical mixing

Case 2.2 is further generalized by considering vertical mixing and imposing a vertical gradient in background DIC (but not in background alkalinity). It follows that surface DIC concentrations in the counterfactual are not time invariant. The surface layer is assumed to be in equilibrium with the atmosphere before the alkalinity addition. This case illustrates how the efficiency is reduced by alkalinity loss from the surface due to vertical mixing while accounting for a possible upward mixing of DIC from below.

As one would expect, consideration of surface alkalinity loss due to vertical mixing (runs 24-26) reduces the efficiency drastically (Figure 3). Increasing the vertical mixing timescale  $\tau_v$  from  $10^{-7}$  s<sup>-1</sup> (run 24) to  $10^{-5}$  s<sup>-1</sup> (run 26) reduces the efficiency from 88% to 7.7% (Table 2). The initial slope of the CDR(*t*) curve is unaffected by the vertical mixing, but the curve saturates faster at lower values of CDR<sup>max</sup> (Figure 3). Faster saturation decreases the timescales to maximum efficiency.

Imposing a vertical gradient in the background DIC, where the deep DIC is elevated compared to the surface layer, partly counteracts the effect of alkalinity loss. Upward mixing of DIC in excess of the equilibrium concentration creates a situation akin to the oversaturated case 1 (runs 16-17) which has faster equilibration timescales than the equilibrium and undersaturated cases. The upward mixing decreases the CDR timescales while increasing CDR<sup>max</sup> and the efficiency compared to the analogous case without a vertical DIC gradient (comparing runs 27-28 to run 25, Table 2, Figure 3). If the surface layer was over- or undersaturated before the alkalinity perturbation the efficiency and timescales would be modified further.

#### 4.2.3 Case 2 summary

Case 2.1 shows that, in the absence of vertical exchange processes, the evolution of CDR(t) is unaffected by the rate of horizontal dispersion. Although faster horizontal dispersion dilutes the added alkalinity, or the [DIC]<sub>deficit</sub>, thus decreasing the resulting net air-sea  $\Delta pCO2$  and net air-sea flux, it also increases the area affected by the alkalinity addition, or [DIC]<sub>deficit</sub>, which results in a larger surface area over which air-sea flux occurs.

Inclusion of vertical exchange, as one would expect, has a large effect on the efficiency and CDR<sup>max</sup>. Given that the magnitude of vertical mixing is poorly constrained and that the difference in efficiency between runs 24 and 26 is large enough to turn open-ocean CDR from a viable (run 24) into a non-viable (run 26) endeavor, this aspect is likely the largest uncertainty and most urgent research need.

## 5. Concluding thoughts

All mCDR technologies rely on removing  $CO_2$  from the atmosphere by inducing a net flux of  $CO_2$  from the atmosphere into the ocean. Crucial aspects for these technologies to be the economically viable are that this flux must be directly attributable to the mCDR intervention and that it is reliably quantified. Purely observation-based quantification is not feasible for the fundamental reason that the carbon removed due to an mCDR intervention is the difference between a real and a hypothetical (counterfactual) state. The latter cannot, by definition, be observed. Quantification must therefore rely on skillful models, i.e. models that have been rigorously validated against observations. The most important uncertainty in the near-term that emerged from the analysis presented in this review is the magnitude of the vertical transport of water with a DIC deficit stays at the surface ocean in contact with the atmosphere where it can effect a flux of  $CO_2$  from air into the ocean. Another major uncertainty is the long-term rebalancing of carbon among Earth's mobile carbon pools.

## **Box 1: Definitions**

**CDR/mCDR**: Carbon Dioxide Removal (CDR) is the active removal of  $CO_2$  from the atmosphere followed by its long-term storage in reservoirs other than the atmosphere. When CDR relies on the ocean, either for storing  $CO_2$  or as a conduit for extracting  $CO_2$  from the atmosphere, it is referred to as marine CDR (mCDR).

**Biotic mCDR:** Biotic mCDR approaches rely on a systematic alteration of biologically mediated fluxes.

Abiotic mCDR: Abiotic mCDR approaches do not rely on the manipulation of biological processes.

**MRV/MMRV**: The process of measuring (or monitoring), reporting, and verifying CDR, including its additionality and durability, is referred to as MRV or MMRV (Oschlies et al. 2023, Doney et al., 2025).

**Durability/permanence:** Sometimes used interchangeably, durability refers to the timescale of carbon storage in its intended reservoir implying it is long, while permanence refers to long durability, e.g. over 1,000 years.

**Leakage:** The amount of  $CO_2$  released to the atmosphere from the reservoir that was intended for its long-term storage, for example due to a redistribution between mobile carbon reservoirs.

**Efficiency:** The ratio between the theoretically possible net  $CO_2$  uptake from the atmosphere due to a CDR intervention and the accomplished net  $CO_2$  uptake that has occurred within a reasonable timeframe.

**Counterfactual:** The no-intervention scenario that represents the baseline against which to quantify the impact of a given CDR intervention.

**Net-zero CO<sub>2</sub> emissions:** CO<sub>2</sub> emissions are at net zero when anthropogenic emissions are balanced by (or equal to) anthropogenic removals (IPCC 2023). It is important to note that this definition does not include natural sources and sinks of atmospheric CO<sub>2</sub>. In other words, according to this definition, atmospheric CO<sub>2</sub> may continue to rise or may decline even under net-zero CO<sub>2</sub> emissions because of natural sources or sinks. In contrast, the concept of net-zero greenhouse gas emissions is more complicated because the warming potential differs between different gases and thus has to be considered (see Oschlies et al. 2023 for a definition).

**Scaling up:** Increasing CDR operations to the point where gigatons of CO<sub>2</sub> are being removed per year.

**Gigaton of CO<sub>2</sub>**: One billion metric tons of CO<sub>2</sub>. It is equal to  $10^9$  t CO<sub>2</sub> or  $10^{15}$  g CO<sub>2</sub>. One gigaton of CO<sub>2</sub> is equal to 0.273 gigaton of C.

**Sequestration potential:** The amount of  $CO_2$  that could be removed by a specific CDR approach if implemented at full scale. Sequestration potential is typically related to the IPCC's stated need to remove 10 Gt  $CO_2$ /yr from the atmosphere by the middle of this century and 20 Gt/yr by the end of this century.

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# Tables

Table 1

Run #	Short description	$\Delta z(m)$	T (°C)	S	U10	DICcf	Alk <sup>cf</sup>	pCO <sub>2</sub> <sup>air</sup>	pCO <sub>2</sub> (0+)	ΔAlk	Gas exchange	
					(m/s)	(uM)	(uM)	(ppm)	(ppm)	(uM)	parametrization	
Case 1.1, Assumptions: homogenous volume (no dispersion); no spatial dimension, just time; infinite atmosphere; ocean and atmosphere are in equilibrium before intervention, thus counterfactual is time-invariant equilibrium												
1	Base case	10	20	35	6.64	2050	2300	416	281	100	Nightingale et al. (2000)	
2-5	Gas exchange parametrization	Same as Base case except for gas exchange parametrizations; run 2: McGillis et al. (2001), run 3: McGillis et al. (2004), run 4: Ho et al. (2006), run 5: Wannikhof et al. (2009)										
6-7	Box thickness	Same as Base case 1.1 except for $\Delta z$ ; run 6: $\Delta z = 5$ m, run 7: $\Delta z = 15$ m										
8-9	Gas exchange coefficient k	Same as Base case 1.1 except for gas exchange coefficient; run 8: k is smaller by 50%, run 9: k is larger by 50%										
10-11	Wind speed	Same as Base case 1.1 except for wind speed; run 10: U10 is smaller by 50%, run 11: U10 is larger by 50%										
12-13	Alkalinity perturbation	Same as Base case 1.1 except for $\Delta$ Alk: run 12: $\Delta$ Alk is smaller by 50%, run 13: $\Delta$ Alk is larger by 50%										
Case 1.2, Assumptions: same as case 1.1 except that ocean and atmosphere do not start from equilibrium; counterfactual is non-trivial and evolves in time												
14-17	Starting from air-sea disequilibrium Same as base 1.1 case except for initial DIC; initial $\Delta pCO2$ between ocean and atmosphere is -200 ppm (run 14, DIC <sup>cf</sup> = 1910), -100 ppm (run 15, DIC <sup>cf</sup> = 1990), 100 ppm (run 16, DIC <sup>cf</sup> = 2090), and 200 ppm (run 17, DIC <sup>cf</sup> = 2120)											
Case 2.1, Assumptions: surface ocean with horizontal space and time dimensions; since dispersion is assumed to be isotropic, spatial distribution patterns can be described mathematically with just one spatial dimension; counterfactual is time-invariant equilibrium												
18	Base case	Same parameters as Base case 1.1 with addition of a horizontal diffusivity parameter $K_h = 80 \text{ m}^2/\text{s}$										
19	Box thickness	Same as Base case 2.1 except for $\Delta z = 5$ m										
20-21	Horizontal diffusivity K <sub>h</sub>	Sivity Same as Base case 2.1 except for horizontal diffusivity; run 20: $K_h = 40 \text{ m}^2/\text{s}$ , run 21: $K_h = 160 \text{ m}^2/\text{s}$										
22-23	Alkalinity perturbation	Same as Base case 2.1 except for $\triangle$ Alk: run 22: $\triangle$ Alk = 50 uM, run 23: $\triangle$ Alk = 200 uM										
<b>Case 2.2, Assumptions:</b> 2-layer ocean with horizontal, vertical, and time dimensions; vertical gradient in DIC is imposed; vertical mixing is parameterized; horizontal dispersion is isotropic; counterfactual is not a time-invariant equilibrium anymore and needs to be solved												
24-26	Vertical mixing $\tau_v$	Same as Base case 2.1 except for vertical mixing timescale; run 24: $\tau_v = 10^{-7} \text{ s}^{-1}$ , run 25: $\tau_v = 10^{-6} \text{ s}^{-1}$ , run 26: $\tau_v = 10^{-5} \text{ s}^{-1}$ (no vertical DIC gradient)										
27-28	Vertical DIC gradient	Same as run 25 with a vertical DIC gradient imposed; run 27: DIC <sup>deep</sup> = 2150 uM, run 28: DIC <sup>deep</sup> = 2250 uM										

Table	2

Case #	Run #	CDR <sup>max</sup> (uM)	899% (%)	t50% (d)	t90% (d)	t99% (d)	Case #	Run #	CDR <sup>max</sup> (uM)	899% (%)	t50% (d)	t90% (d)	t99% (d)
1.1	1	83.7	99	120	368	703	1.2	15	83.7	99	152	420	764
1.1	2	83.7	99	132	404	773	1.2	16	83.7	99	98.1	328	655
1.1	3	83.7	99	117	359	686	1.2	17	83.7	99	82.5	296	614
1.1	4	83.7	99	123	376	720	2.1	18	83.3	98.5	95.1	316	631
1.1	5	83.7	99	136	417	798	2.1	19	83.3	98.7	47.5	158	315
1.1	6	83.7	99	60	184	352	2.1	20	83.3	98.5	95.1	316	631
1.1	7	83.7	99	180	552	1060	2.1	21	83.2	98.5	95.1	316	629
1.1	8	83.7	99	240	736	1410	2.1	22	83.2	98.4	95.1	316	631
1.1	9	83.7	99	80	245	469	2.1	23	83.5	98.7	95.1	316	631
1.1	10	83.7	99	405	1240	2380	2.2	24	74.4	88.1	85.0	282	561
1.1	11	83.7	99	56.8	174	333	2.2	25	38.1	45.1	43.5	145	289
1.1	12	83.7	99	108	342	668	2.2	26	6.48	7.67	7.41	24.5	49.1
1.1	13	83.7	99	132	393	738	2.2	27	42.8	50.6	44.8	136	259
1.2	14	83.7	99	204	495	846	2.2	28	47.2	55.9	44.9	124	226

**Table 2**: Values of CDR<sup>max</sup>, the timescales for CDR to reach 50%, 90%, and 99% of CDR<sup>max</sup>, t<sub>50%</sub>, t<sub>90%</sub>, and t<sub>99%</sub>, and the efficiency at t<sub>99%</sub>, cDR<sup>max</sup> is normalized by the volume to which the alkalinity perturbation was applied and the alkalinity perturbation.

## **Figures**

Figure 1



**Figure 1**: a) Volume-normalized CDR(*t*) for case 1.1, runs 1-11 (see Table 1) plotted over the first 4 years from the alkalinity perturbation at t = 0. Range of values for +/- 50% of box thickness is shown by the gray area. b) Same as a) but for the instantaneous net air-sea flux of CO<sub>2</sub>. c) Volume-normalized CDR(*t*) for the base case with shaded areas showing the range of values for +/- 50% of the wind speed (gray) and gas exchange coefficient (red). d) Same as c) but for the instantaneous net air-sea flux of CO<sub>2</sub>. Positive air-sea flux signifies a flux into the ocean (from air to sea).





**Figure 2**: a) Volume-normalized CDR(*t*) for case 1.2, runs 14-17 and the Base case 1.1, run 1 (see Table 1) plotted over the first 3 years from alkalinity perturbation at t = 0. b) Same as in a) but for the instantaneous net air-sea flux of CO<sub>2</sub>. c) DIC concentrations for OAE runs (solid lines) and corresponding counterfactuals (dashed lines) with shaded area representing CDR(*t*)/V for run 14 (blue), run 1 (red), and run 17 (green). Positive air-sea flux signifies a flux into the ocean (from air to sea).



Figure 3: Volume-normalized CDR(*t*) for case 2.1 (base case, run 18) and case 2.2 (runs 24-28).

## Figure 3